

Certificate TW14/10536

The management system of

SinoPac Securities Corporation

永豐金證券股份有限公司

9F.&11F., No.17, Bo'ai Rd.,
Taipei City 100, Taiwan (R.O.C.)
100 台北市博愛路 17 號 9 樓及 11 樓

has been assessed and certified as meeting the requirements of

ISO/IEC 27001:2013

For the following activities

The provision of system management, application development, outsourcing management, database management, network management, information security management and related infrastructure maintenance activities within Information Technology Division and Information Security Department, SinoPac Securities Corporation. This is in accordance with the Statement of Applicability, Version 7.0, dated 2019/09/18.

永豐金證券股份有限公司資訊處及資訊安全部所提供之系統管理, 應用軟體開發, 委外管理, 資料庫管理, 網路管理, 資訊安全管理, 應用軟體開發, 委外管理, 資料庫管理, 網路管理, 資訊安全管理和相關基礎設施維運活動。此與發行日期於 2019 年 9 月 18 日之適用性聲明書版本 7.0 相符合。

This certificate is valid from 06 August 2020 until 06 August 2023 and remains valid subject to satisfactory surveillance audits. Recertification audit due a minimum of 60 days before the expiration date. Issue 3. Certified since 06 August 2014

Authorised by

SGS United Kingdom Ltd
Rossmore Business Park Ellesmere Port Cheshire CH65 3EN UK
t +44 (0)151 350-6666 f +44 (0)151 350-6600 www.sgs.com

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